



- Customer is required to submit certified true copy of the following documents to comply with KYC requirements:
1. Certificate of incorporation/registration and Memorandum & Articles of Association is required. For Statutory bodies, a certified copy of the permission granted by the Government authority/ respective Ministry is required.
 2. Board resolution/resolution passed by institutions/societies permitting investment, opening a deposit and claiming the maturity proceeds.
 3. PAN card of the company
 4. Latest telephone/electricity bill or bank account statement and a cancelled cheque
 5. An officially valid document (PAN, passport, driving license, Voter's identity card) identifying the persons authorized for such transactions and their addresses. In case such person is a director, then Directors Identification no. is mandatory above
 6. BO declaration is required to be filled by every non-individual.
 7. For entities other than companies, any document to establish the legal existence of such an entity/ juridical person.
 8. Document specifying the names of the relevant persons holding senior management position
 9. Address proof of registered office and the principal place of its business, if it is different.

Type of Entity

PSU - Listed

PSU - Unlisted

Quasi Govt Body

Non-PSU - Listed

Non-PSU - Unlisted

Others

Industry

Advertising/Marketing

Agriculture

Airlines

Antique/Art/Arms Dealer

Automobiles

Banking

Bullion/Gems/Jewellery

Business Correspondent

Call Centre

Casinos

Chemical/Dyes/Paint

Chit Funds

Construction/Infrastructure

Courier/Logistics/Transporter

Defence

Electronics

Electricity

Embassies/Consulates

Entertainment/Media

Fertilizers

Food Processing

Glass & Glassware

Hotel/Restaurant

IT/ITES (Hardware/Software)

Medical/Health Care

Mining & Quarrying (incl. Coal)

MF/Insurance

Money Changer

NBFC

NGO/NPO

Petrol Pump/Gas Station

Petroleum, Coal Products & Nuclear Fuels

Political Parties

Real Estate/Housing

Religious Institutions

Retail Chain/FMCG

Rubber, Plastic & their Products

School/College

Shipping

Stock/Commodity Brokers

Telecom

Textiles

Travel and Tourism

Timber/Furniture/Wood/Wood products

Professionals (CA/Lawyer/Doctor/Consulting/HR)

Others

No. of Employees

0 to 20

21 to 50

51 to 100

above 100

Annual turnover (in Rs.)

0 to 50 lacs

50 lacs to 1 Cr

1 Cr to 5 Cr

>5 Cr

Customer's Consent and Confirmation

I/We confirm and agree that:

- All particulars, information and details provided above together with documents submitted to Bajaj Finance Limited ("BFL") are true, correct and up to date and I/We am/are obliged to keep BFL immediately updated of any change in the information provided by me in this Application Form. I/we hereby agree to provide all other documents and information, in such form and manner, as may be prescribed by BFL in relation to this application. I/We confirm that BFL is under no obligation to verify the information, details and/or documents submitted hereunder and BFL shall not be liable for any loss suffered by any person due to reliance on such information, details and/or documents.

I give explicit consent and authorize Bajaj Finance Limited ("BFL"), along with its representatives, affiliates, and service providers/partners ("Assigns"), to obtain, download, store, share, upload and process my/our personal data for enabling various products and services ("Financial Products") of BFL: -

1. To obtain, download, store, share, upload, and process any and all documents/data from me/us. This may include, but is not limited to, my/our Latitude, Longitude, IP Address, Device ID Details and any other data ("Information") as may be required by BFL for the purpose of availing its Financial Products.

2. **CKYC** : I hereby provide my consent to BFL:

a. to check/ verify/ download/ obtain/upload/update my KYC details from/with the Central KYC Registry (CERSAI): (i) through the CKYC number (i.e., KYC Identifier Number- KIN) provided by me or (ii) by obtaining such CKYC number/KIN, through details shared by me in this Application Form; and

b. for receiving information from CERSAI through SMS/Email on the above registered number/email address.

3. **Aadhaar based eKYC or OKYC**: I hereby authorize BFL, representatives, and service providers to:-

a. Perform Aadhaar-based authentication (eKYC) or verification (OKYC) from UIDAI/CIDR using the physical copy of Aadhaar card, physical e-Aadhaar, masked Aadhaar, Aadhaar Secure QR code, offline electronic Aadhaar XML, and Virtual Aadhaar ID submitted by me/us. This includes collecting, downloading, obtaining, uploading, storing, and processing my/our Name, Address, Date of Birth, Gender, Mobile Number, Email ID, fingerprints, Photo, City Name, PIN code, State Name, e-Aadhaar Card, Aadhaar XML file, and/or demographic/biometric information for the purpose of establishing my identity/address proof and/or as the guardian of my/our minor child's identity/address, as applicable.

b. Am aware that, in connection with Aadhaar e-KYC or Aadhaar OKYC services, BFL shall process and share Aadhaar Number and/or biometrics/demographic details with CIDR/UIDAI. In response, CIDR/UIDAI shall share with BFL the authentication or verification data such as Aadhaar Holder Name, Mobile Number, Email ID, Address, Photo, Aadhaar Number and other details as permitted by CIDR/UIDAI.

c. share the Share Code or confirm on the auto populated shared code for successful 'Aadhaar XML file' download and upload as contemplated under applicable law to complete my offline KYC process.

4. **Digi Locker**: I hereby authorise and give my consent to the BFL and its Assigns to access, obtain, process, share and store digitally Certified Copy of Officially Valid Documents (OVD) or equivalent e-documents of OVD including documents issued through secured cloud based Digilocker platform of MeIT for the purpose of availing Financial Products/Services from BFL.

Provisional Receipt

Received from _____ Cheque No. _____ for INR _____
dated _____ drawn on _____ Bank _____ Branch _____
towards _____ Scheme for a period of _____ months at _____ % interest per annum.
Reference No. _____

Application Form No.

For BAJAJ FINANCE LTD

*This Provisional Receipt is valid only till the issuance of the Fixed Deposit Receipt

Bajaj Finance Ltd

Registered Address: Bajaj Finance Limited, 4th floor, Bajaj Finserv House, Off Pune - Ahmednagar Road, Viman Nagar, 411014.
Corporate Office: Bajaj Finance Limited, 4th floor, Bajaj Finserv House, Off Pune - Ahmednagar Road, Viman Nagar, 411014.
Phone: 8698 01 01 01 | Email: wecare@bajajfinserv.in | CIN: L65910MH1987PLC042961

5. **Consent Storage:** The Information that I provide to BFL shall be kept for the time during which I continue to be customer/borrower and for at least 5 (five) years after our relationship ends, as per the prevailing legal/regulatory requirements as amended from time to time. However, the Aadhaar Number and core biometrics shall NOT be stored or shared, except as required by law or permitted by UIDAI and/or for submission to CIDR/UIDAI.

PURPOSE

I, authorize and provide my consent to BFL to use, obtain, store, process, share and maintain my / our Information for following purposes: -

1. for KYC and periodic KYC updates as required by the PML Act, 2002, and RBI guidelines to establish my/our identity through e-KYC or OKYC or Yes/No Authentication or VCIP or any other permitted verification methods for all current and future accounts, facilities, services, and relationships with BFL.
2. To produce records and logs of consent, information, or authentication, identification, and verification for evidentiary purposes, including in court/tribunal regulatory bodies, before any government / quasi-judicial authority.
3. For exercise of due diligence, including reporting and filings as per applicable laws/regulations.
4. To use, verify, exchange, share or part with all information and details as provided by me to BFL's group companies (only PML and KYC related data), its business partners/permitted assigns, affiliate/subsidiaries/agents/ service provider/representatives/empanelled merchants (collectively referred to as "Assigns") and any third party including but not limited to, banks, financial institutions, credit bureaus, telecommunication companies, statutory bodies, empanelled merchants, Central KYC Registry (CERSAI), Reserve Bank of India, GST Portal, CIBIL/CRISIL/any credit rating agency/credit information company(CIC), Information Utility, NSDL e-Governance Infrastructure Limited (NSDL)/UTI Infrastructure Technology and Services Limited (UTIITSL) for purposes such as, including but not limited to customer verification and due diligence, personalization of products or services, credit rating, data enrichment, analysis, or for enforcement of your obligations and I shall not hold BFL/its Assigns liable for the use/sharing of the information as stated above.
5. To seek/obtain any other information, generate periodic reports relating to me either by itself and/or through its Assigns.
6. To procure my/our CIBIL report and provide it to BFL by deducting applicable charges for the same.

Customer Declaration

- a. BFL officials informed me that the submission of Aadhaar is not mandatory and explained about the alternative options for submission of KYC and establishing identity including by way of physical KYC with Officially Valid Documents (OVD) other than Aadhaar.
- b. I shall NOT hold BFL or any of its officials responsible in case of submission of any incorrect Information by me.
- c. If I/we choose to register for UPI mandate, I understand and acknowledge that 1 will be collected from my/our bank account during registration. However, this amount will be refunded/credited back to the same bank account after the successful validation of my/our bank details.

Consent Revocation

I acknowledge my right to withdraw/revoke consent against use of my Information at any time (subject to terms and conditions of the product/service availed by me/us and/or applicable laws and regulations), through any one of the methods mentioned below: -

- **The BFL Executive** at the Dealer Store or BFL Branch location **or**
- **Bajaj Finance App:** Raise a Request **or**
- **Email:** wecare@bajajfinserv.in **or**
- **Customer Care Number:** + 91-8698010101

I further acknowledge that, withdrawing of consent may affect the services that BFL may provide to me and I may face consequences under the Terms and Conditions of Product/Service and/or the applicable laws and regulations.

- I/We confirm that I/We have read and understood the detailed Terms and Conditions annexed to this Application ("Terms") including the interest rate and other charges, the financials and other statements/particulars/representations furnished by BFL and the applicant shall be bound by the said Terms including any additions/amendments therein from time to time.

- The amount placed under deposit is received through legitimate sources and/or is not designed for the purpose of any contravention or evasion of the provisions of any applicable laws including but not limited to the Prevention of Money Laundering Act, 2002 and any rules, regulations, notifications, guidelines or directions thereunder, as amended from time to time.

- I/We agree that any and all information provided by me/us in this application, all deposit(s) held by me/us with BFL may be disclosed by BFL to any statutory/regulatory/judicial authorities as and when required and to provide any additional document and/or information as may be prescribed by BFL/said authorities in relation to this Application.

- I/we expressly acknowledge and agree that, in the course of availing financial products or services from BFL, BFL may implement technology-enabled systems, including but not limited to AI-based facial recognition and camera-enabled identification mechanisms, for the purpose of providing its products or enhancing service efficiency at BFL's branches or any of BFL's authorised dealer stores and improving customer experience through faster processing.

- I/we consent to the capture and limited processing of facial images for the aforesaid purposes, including real-time matching with BFL's internal customer database and sharing of such data with the authorised dealers of BFL. I/we understand that facial images shall be handled as Sensitive Personal Data in accordance with applicable data protection laws and BFL's internal data protection and privacy policies.

- By submitting this Application, I/we confirm that this consent is provided voluntarily, specifically, and unambiguously, for the collection, use, storage, disclosure and transfer of such information by BFL, and that I/we have read and understood BFL's Privacy Policy, which governs the overall handling of personal data by BFL.

Signature of all Authorized Signatories along with stamp of the applicant organization:

First _____ Second _____ Third _____

1. These Terms and Conditions together with terms contained in the application form and Deposit Receipt shall apply to and govern the Corporate Deposit(s) ("Deposit"), (collectively, the "Terms"). By submitting the application form to Bajaj Finance Limited ("BFL") for opening a Deposit Account, the deposit holder confirms that it will be bound by these terms and conditions.
2. Deposits shall not be accepted in cash.
3. The Company reserves the right to accept or reject any application received for opening or placing the Deposit without assigning any reason whatsoever. The amount in relation to such rejected Application will not earn any interest and the Company will endeavor to refund the said amount within 10 (ten) business days.
4. The entities incorporated/registered/constituted in India and carrying business in India are eligible for placing Deposit with BFL.
5. For placing the Deposit, the applicant shall submit to the satisfaction of BFL, the application form along with all documents detailed in the application form or such other documents prescribed by BFL from time to time.
6. The minimum deposit amount is Rs.25000/-.
7. The applicant may transfer funds to BFL through a Real Time Gross Settlement (RTGS) transaction or a cheque, as per the details provided below:

Investment in Corporate deposit

Bank account details for remittance of funds through RTGS:

Beneficiary name: BAJAJ FINANCE LIMITED BFICD7
 IFSC code: HDFC0000007 | Account number: BFICD7
 Bank name and branch: HDFC BANK LTD, BHANDARKAR ROAD BRANCH, PUNE

Cheque Details:

CTS compliant Account Payee Cheque drawn in the name of "BAJAJ FINANCE LIMITED - ACCOUNT NUMBER 00070350011157".

8. Interest will be calculated from date of realization of cheque/receipt of RTGS funds towards the Deposit.
9. The dates for interest payments are as follows:
 - (a) In case of Non-Cumulative Deposits:
 1. Monthly interest payments: Last date of month
 2. Quarterly interest payments: 31st March, 30th June, 30th September and 31st December
 3. Half yearly interest payments: 31st March and 30th September
 4. Annual interest payments: 31st March
 - (b) In case of Cumulative Deposits: Date of maturity of the Deposit.
 - (c) Interest calculation methodology
 1. For the purpose of interest calculation, calendar year is taken to consist of 366 days in a leap year and 365 days in a non-leap year.
 E.g. FD of Rs. 10 lakhs booked on 15-Apr-2020 for Tenor 1 year @10% per annum Interest from 15-Apr-2020 till 31-12-2020, will be calculated as: $10\text{ lakhs} \times 10\% \times 261/366 \text{ days} = \text{Rs.}71311$ Interest from 01-Jan-2021 till 14-Apr-2021 will be calculated as: $10\text{ lakhs} \times 10\% \times 104/365 \text{ days} = \text{Rs.}28493/-$
 2. In case your maturity payment date falls on a banking holiday, your maturity proceeds will be credited to the bank account registered with us, on the banking holiday.
 - (d) In cumulative schemes, interest will be compounded annually
10. Renewal is not allowed for non-callable deposits.
11. Interest (net of tax deducted at source, where applicable) will be paid through electronic payment instruction only, to the bank account of the depositor as mentioned in the application form, or such other bank account as intimated to BFL from time to time, jointly by all authorised signatories, in writing. If, electronic payment instruction facility is not available, interest shall be paid by "Account Payee" cheque drawn in favour of the depositor.
12. Income-tax, wherever applicable, will be deducted at source from interest in accordance with relevant rates prescribed under Section 393(1) of the Income Tax Act, 2025.
13. BFL shall have the first and paramount right of lien and set off, irrespective of any other lien or charge, present as well as future, on all deposits of the depositor with BFL to the extent of any outstanding dues payable by the depositor to BFL.
14. BFL will make repayment of the Deposit on the date of maturity of such Deposit, as per the bank account details provided in the application form, through NEFT/RTGS payment mode only. For deposit amount upto 5 Cr, maturity payout will happen even on non-working/ Non-Banking day and the same date will reflect in the fixed deposit receipt. For deposit amount greater than 5 Cr, in case the date of maturity happens to be a non-working/ Non-Banking day the maturity payout will be done on the next working/banking day and the same date will reflect in the fixed deposit receipt. Interest payable would be only up to the day maturity proceeds are paid out. For renewal of the Deposit, a fresh application form will be required to be submitted along with the discharge Deposit Receipt. Renewal of the Deposit will be subject to the rate of interest and other terms & conditions prevailing on the date of such renewal.
15. Request for premature withdrawal may be permitted at the sole discretion of BFL and penalties will be as per the terms of the deposit. Income tax wherever applicable and deducted at source and remitted to the applicable tax authority by the Company on behalf of the depositor, before premature withdrawal of Deposit(s), shall not be refunded in any circumstance whatsoever.
16. **Restriction:** Deposit(s) is/are not transferable and non-assignable.
17. Loan Against Deposit and Lien Marking
 - a. Loan Facility Against Deposit: The Deposit Holder may avail a loan against the Deposit, subject to:
 - Fulfilment of eligibility criteria as prescribed by Bajaj Finance Limited ("BFL");
 - Execution of all applicable documents; and
 - Compliance with any other terms and conditions as may be stipulated by BFL.
 - b. Use of Deposit as Security for Third-Party Loans: The Deposit Holder may also offer the Deposit as security for a loan availed from a third-party lender, subject to the following lien conditions:
 - c. Lien Terms:
 - Lien in Favour of BFL: The Deposit shall not be released until full repayment of the loan obligations to the satisfaction of BFL.
 - Lien in Favour of Third Party: The lien shall be released only upon receipt of a written confirmation from the third-party lender, provided such confirmation is received prior to the maturity of the Deposit.
 - Validity of Lien: The lien shall remain valid only until the maturity date of the Corporate Deposit (CD) and shall not extend beyond its term.
 - d. Tenure and Renewal Conditions
 - The loan against the deposit may be availed only for a period not exceeding the tenure of the deposit.
 - If the Corporate Depositor wishes to extend the deposit tenure, a written request must be submitted to BFL before the maturity of the existing deposit.
 - If the deposit is not renewed before its maturity, any lien placed on such deposit shall automatically cease to exist.
 - Premature closure of deposit on account of invocation of lien, is subject to penal rates as per BFL's internal policies.
 - e. No Auto-Renewal of deposit or Lien
 - Deposits offered as security shall not be subject to auto-renewal.
 - Lien on such Deposits shall be valid only until the defined maturity date and shall not continue thereafter under any circumstances.
 - Renewal of the Deposit shall require a written request from the Corporate Depositor. A standalone request from the lender shall not be considered valid unless accompanied by such written request from the Depositor.
 - f. Discharge of Lien The Corporate Depositor acknowledges that the lien on the Deposit shall be released only upon receipt of a discharge letter from the lender, in accordance with the terms stated above.
18. Any change in the details provided in the application form shall be carried out only upon receipt of written instructions from the authorized signatory or from registered email ID of the depositor.
19. Any oral communication, representation or assurances made to you by any person with regard to the Financial Products and Services, whether on behalf of BFL or in their independent capacity, are not to be relied upon unless the same is captured in the documents in writing.
20. The Terms shall be governed by and construed in accordance with the laws of India. All matters arising out of these Terms and the Deposit shall be subject to the exclusive jurisdiction of the courts or tribunals (as the case may be) at Pune, India.
21. In case of any query, please get in touch with the Customer Care at wecare@bajajfinserv.in.

Application Form No.:

FATCA/CRS Details for non-Individual Applicants (mandatory)

Name of the entity

Type of address given at KYC: Residential or Business Residential Business Registered Office

Note: "Address of tax residence would be taken as available in KRA (KYC Registration Agency) database. In case of any change, please approach KRA & notify the changes"

PAN Date of Incorporation/Registration/Formation

LEI Number LEI Renewal Date

City of incorporation..... Country of incorporation.....

Contact No. Email ID

Entity Constitution Type: Partnership Firm HUF Society AOP/BOI Trust Liquidator

Limited Liability Partnership Artificial Juridical Person Others specify.....

Please tick the applicable tax resident declaration -

Is "Entity" a tax resident of any country other than India? Yes No

If 'yes', please fill below details for all countries (other than India) in which the entity is a resident for tax purposes as well as Ultimate Beneficiary Ownership (UBO) declaration on the next page

Country of tax residency	Tax Identification number or functional equivalent	Identification type (TIN or other, please specify)*

*If TIN is not available please tick reason A, B or C

Reason A - The country where applicant is liable to pay taxes does not issue Tax Identification number to its residents
Reason B - No TIN required (Select this only if the authorities of the respective country of tax residence do not require TIN to be collected)
Reason C - Any other reason

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here.....

FATCA & CRS Declaration

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

In case the Entity Country of Incorporation/Tax residence is US but entity is not specified US person mention entity exemption code
Entity exemption code are provided below in table which can be enclosed with application form for ease of customer to mention the exemption code same.

Code	Sub category	Code	Sub category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)	G	A real estate investment trust
B	The United States or any of its agencies or instrumentalities	H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities	I	A common trust fund as defined in section 584(a)
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)	J	A bank as defined in section 581
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)	K	A broker
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state	L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
		M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

PART A [to be filled by Financial Institutions or Direct Reporting Non-Financial Entities (NFEs)]

We are a:
Financial institution
OR
Direct reporting NFE

GIIN (consists of 19 characters)
GIIN is applied but not yet issued
Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below
Name of sponsoring entity.....

If GIIN not available and If the entity is a financial institution(tick whichever is applicable): Not required to apply for Not obtained - Non-participating FI

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1.	Is the Entity an active NFE	Yes (If yes, please fill UBO declaration in the next section.) No Nature of Business.....
2.	Is the Entity a passive NFE	Yes (If yes, please fill UBO declaration in the next section.) No Nature of Business.....

Ultimate Beneficiary Ownership (UBO) declaration (to be filled by a non-individual tax resident of any country other than India)

Name of the entity

Entity Constitution Type: Company ☐ LLP ☐ Cooperative society registered under the Cooperative Societies Act ☐

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s):

1.	Name of Beneficial owner / Controlling person..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Tax ID Type (TIN or Other, please specify)..... Beneficial Interest %..... Address type: Residential ☐ Business ☐ Registered Office ☐	Address - Include State, Country, PIN / ZIP Code & Contact Details:
2.	Name of Beneficial owner / Controlling person..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Tax ID Type (TIN or Other, please specify)..... Beneficial Interest %..... Address type: Residential ☐ Business ☐ Registered Office ☐	Address - Include State, Country, PIN / ZIP Code & Contact Details:
3.	Name of Beneficial owner / Controlling person..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Tax ID Type (TIN or Other, please specify)..... Beneficial Interest %..... Address type: Residential ☐ Business ☐ Registered Office ☐	Address - Include State, Country, PIN / ZIP Code & Contact Details:

If passive NFE, please provide below additional details
(to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India):

1.	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Occupation Type - Service, Business, Others..... Nationality..... Father's Name	Date of birth..... Gender: Male ☐ Female ☐ Other ☐
2.	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Occupation Type - Service, Business, Others..... Nationality..... Father's Name	Date of birth..... Gender: Male ☐ Female ☐ Other ☐
3.	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Occupation Type - Service, Business, Others..... Nationality..... Father's Name	Date of birth..... Gender: Male ☐ Female ☐ Other ☐

Certification:

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Declaration:

I/ we understand that my/our purchase from the Company may create legal, tax or other financial/ reporting obligations for me/us under the laws of the country of which I/we hold citizenship or reside in. I/we shall be solely responsible for undertaking and fulfilling any obligations that I/we may have under the laws of such country/ies and shall not hold the Company liable under any circumstance in the event of a default on my/our part in fulfilling the said obligations. I/ We agree that, as may be required by domestic regulators/ tax authorities the Company may also be required to report, reportable details to CBDT or close or suspend my/ our applications/ contracts.

Signature of
first authorized signatorySignature of
second authorized signatorySignature of
third authorized signatory

Date:

D	D	M	M	Y	Y	Y	Y
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Deposit ID (for existing customers) _____ Customer ID (for existing customers) _____

[illegible][illegible]

I / We the undersigned hereby declare that our company/ holding company is listed on _____ Stock Exchange.

Name of the Holding Company, M/s _____

In case of Any Entity other than a Listed Company, Section – I, and if applicable, Section – II to be ticked and filled in.

I / We, the undersigned, hereby declare that following persons own Controlling Ownership Interest of more than 10% in case of Company, 10 % or more in case of Trust, more than 10% in case of Partnership Firm and more than 15% in case of Unincorporated Association or Body of Individuals. (Refer Notes - A)

Please use additional sheet in case of more information to disclose

Applicable only if there is / are non-individual shareholder(s) / partners etc. having controlling ownership interest in excess of the prescribed threshold as declared in **Section - I (i)**

Following persons(s), have controlling ownership interest in Beneficial Owner of the Entity as declared in **Section - I (i)** above.

Please use additional sheet in case of more information to disclose

Section – II

Applicable, if no person has controlling ownership in excess of the prescribed threshold as declared in **Section – I.**

Please provide the details of natural person(s) holding the position of Directors/ Senior Management in the entity (e.g., Directors/ Partners/ Trustees/ etc.) details in Section I (ii).

☐ I / We declare that no person / entity holds controlling ownership in the captioned company in excess of the threshold limit prescribed below.

Authorised Signatory (ies) (i) _____

Signature _____

Authorised Signatory (ies) (ii) _____

Signature _____

Authorised Signatory (ies) (ii) _____

Signature _____

(Refer note D for Signature requirement)

A. As per the Prevention of Money laundering (Maintenance of Records) Rules, 2005,

The beneficial owner for the purpose of sub-rule (1) shall be determined as under –

- (a) Where the client is a **Company**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, **has a controlling ownership interest** or who **exercises control through other means**. Explanation - For the purpose of this sub-clause-
 - i. **"Controlling ownership interest"** means **ownership of or entitlement to more than 10% of shares or capital or profits** of the company;
 - ii. **"Control"** shall include the **right to appoint majority of the directors or to control the management or policy decisions** including by virtue of their shareholding or management rights or shareholders' agreements or voting agreements;
- (b) Where the client is a **Partnership firm**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, **has ownership of / entitlement to more than 10% of capital or profits** of the partnership;
- (c) Where the client is an **Unincorporated Association or Body of Individuals**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, **has ownership of or entitlement to more than 15% of the property or capital or profits** of such association or body of individuals;
- (d) **Where no natural person is identified under (a) or (b) or (c) above**, the beneficial owner is the **relevant natural person who holds the position of senior managing official**;
- (e) Where the client is a **Trust**, the identification of beneficial owner(s) shall include **identification of the author of the trust**, the **trustee**, the **beneficiaries with ten percent or more interest in the trust** and **any other natural person exercising ultimate effective control over the trust through a chain of control or ownership**; and
- (f) Where the customer or the owner of the controlling interest is a **Company listed on a stock exchange in India**, or is a subsidiary of such a company, or is an entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions, it is NOT necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.

B. Beneficial Ownership Declaration is NOT required in following cases:

Individual accounts, Sole Proprietorship accounts, HUF, Government Departments, Public Sector Undertaking, Local Government Bodies (Municipal Corporation, Gram Panchayats etc.), Company listed on a recognized stock exchange. An entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions Majority owned subsidiary of a Company listed on a recognized stock exchange.

C. Beneficial Ownership Declaration to provide details of following

Partnership Firm / LLP: natural persons / partners,	Club: Members / Authorised Signatories / Beneficiary
Trust: Trustees / Settlor / Protector / Beneficiary	Association of Persons: Members / Auth. Signatories / Beneficiary
Foundation: Founder managers/Directors / Beneficiary	Pvt. Ltd companies and Unlisted Public Ltd Companies: Shareholder / Directors / Other Beneficiaries
Society: Members/Authorised Signatories / Beneficiary	In case of Foreign Entity: Shareholder/Director/Other Beneficiaries

D. Signature on Declaration form

Sr. No.	Type of Entity	Signatory (ies) for Signing BO Declaration
i	Partnership Firm / LLP / Trust / Foundation / Society / Club	Authorised Signatories should sign as per the Mode of Operations
ii	Association	All Authorised Signatories should sign
iii	Unlisted Public Limited Companies and Private Limited Companies	Any two Directors or Company Secretary or Authorised Signatories as per Mode of Operations
iv	Foreign Entity	All Authorized Signatories should sign

E. KYC Documents of Beneficial Owners should be submitted as per the OVD under PMLA and RBI Guidelines.

- (1) One recent Photograph
- (2) PAN or Form 97 if PAN is not allotted
- (3) Certified Copy * of one of the Officially Valid Documents (OVDs) listed below:

Sr. No.	Proof of Identity (PoI)	Proof of Address (PoA)
i	Valid Passport	Valid Passport
ii	Valid Driving License	Valid Driving License
iii	Voter's Identity Card issued by Election Commission of India	Voter's Identity Card issued by Election Commission of India
iv	Proof of possession of Aadhaar Number (i.e. Aadhaar letter downloaded from UIDAI website, Aadhaar card) **	Proof of possession of Aadhaar Number (i.e. Aadhaar letter downloaded from UIDAI website, Aadhaar card) **
v	Job Card issued by NREGA duly signed by an officer of the State Government	Job Card issued by NREGA duly signed by an officer of the State Government
vi	-	Letter issued by the National Population Register containing details of Name, Address of the customer

* Obtaining a Certified Copy by Reporting Entity (this includes our Company) means comparing the copy of Officially Valid Document (OVD) so produced by the client (i.e. customer) with its Original and recording the same on the copy by the authorised officer of the Reporting Entity"

** To Ensure that the Aadhaar No. (on copy of Aadhaar Letter/Aadhaar Card obtained), must be redacted or blackened and it is not legible and the Aadhaar No. should not be entered/stored in any system.

Beneficial Owner - Know Your Customer (KYC) and FATCA/CRS Form

☐ Mr. ☐ Ms. ☐ Mrs.

☐ Relative of Director ☐ Director or Promoter of BFL ☐ Shareholder ☐ Politically Exposed Person (PEP) ☐ Relative of PEP

☐ Male ☐ Female ☐ Third Gender / Others **Marital Status** ☐ Married ☐ Unmarried ☐ Others

GSTIN

☐ Up to Rs. 15 Lakhs ☐ Rs. 15 Lakhs – Rs.50 Lakhs ☐ above Rs.50 Lakhs

☐ Self-employed/Business ☐ Private sector job ☐ Public Sector Job ☐ Govt. job

☐ Retired ☐ Professional ☐ Housewife ☐ Student ☐ Others

☐ Father ☐ Mother ☐ Spouse

Please do not staple

Officially Valid Document Deemed to be OVDs**

Deemed to be OVDs**

Documents

- ☐ Utility bill (not more than **two months** old)
- ☐ Property/Municipal tax receipt
- ☐ Pension or Family Pension
- ☐ Payment Orders (PPOs)
- ☐ Letter of allotment of accommodation from employer issued by SG/CG, Statutory/Regulatory bodies, PSU, SCB, FIs & Listed Co. and LL agreement with such employers allotting official accommodation

FATCA (to be filled only if BO is NRI/OCI/PIO/Foreign National)[illegible][illegible][illegible]

US Person ☐ No ☐ Yes, I am a US citizen ☐ Yes, I am a green card holder

If yes, country of Tax Residence Tax identification No (TIN) or functional equivalent

Type of Tax Identification ☐ TIN ☐ SSN ☐ Passport ☐ Others

Permanent Address Details

[illegible]

Document Expiry Date DD MM YY YY ☐ **Is Preferred Communication Address**

☐ Is Residence Address same as Permanent Address?

[illegible]

City State Pin

Address Proof
Document No.

Document Expiry Date / - ☐ Is Preferred Communication Address

Vernacular Declaration Form

English	☐	I/We confirm that the content of this Application / Terms and Conditions were read out and explained to me / us in English and I/We confirm to have understood the same.
Hindi	☐	मैं/हम यह पुष्टि करता हूँ/करती हूँ/करते हैं कि इस आवेदन/नियम एवं शर्तों की सामग्री को हिंदी में पढ़ कर मुझे/हमें समझाया गया था और मैं/हम उनके समझने की पुष्टि करता हूँ/करती हूँ/करते हैं।
Bengali	☐	আমি /আমরা নিশ্চিত করছি যে এই আবেদন / নিয়ম এবং শর্তাবলী সম্পর্কে বিস্তারিত সামগ্রীটি পড়েছি এবং আমাকে /আমাদের সেটা বাংলায় ব্যাখ্যা করে বোঝানো হয়েছে এবং আমি /আমরা এটিকে বুঝেছি বলে নিশ্চয়তা প্রদান করছি
Tamil	☐	இந்த விண்ணப்பம்/வரையறைகள் மற்றும் நிபந்தனைகளிலுள்ள விபரங்களை எனக்கு / எங்களுக்கு தமிழில் படித்துக் காட்டி விளக்கப்பட்டது என்றும் அவற்றை நான்/நாங்கள் புரிந்து கொண்டிருக்கிறேன்/புரிந்து கொண்டிருக்கிறோம் என்று நான்/நாங்கள் உறுதி அளிக்கிறோம்.
Punjabi	☐	ਮੈ/ਅਸੀਂ ਪੁਸ਼ਟੀ ਕਰਦੇ ਹਾਂ ਕਿ ਇਸ ਬਿਨੈ-ਪੱਤਰ/ਨਿਯਮ ਅਤੇ ਸ਼ਰਤਾਂ ਦੀ ਸਮੱਗਰੀ ਮੈਨੂੰ/ਸਾਨੂੰ ਪੰਜਾਬੀ ਵਿੱਚ ਪੜ੍ਹ ਕੇ ਸੁਣਾਈ ਗਈ ਅਤੇ ਸਮਝਾਈ ਗਈ ਸੀ ਅਤੇ ਮੈ/ਅਸੀਂ ਪੁਸ਼ਟੀ ਕਰਦੇ ਹਾਂ ਕਿ ਸਾਨੂੰ ਇਸ ਦੀ ਸਮਝ ਝੱਗ ਗਈ ਹੈ।
Urdu	☐	میں/ہم تصدیق کرتا ہوں/کرتے ہیں کہ اس درخواست / شرائط و ضوابط کے متن کو مجھے/ہمیں انگریزی میں پڑھ کر سنا دیا گیا ہے اور اس کی وضاحت کردی گئی ہے اور میں/ہم تصدیق کرتا ہوں/کرتے ہیں کہ میں/ہم نے اسے سمجھ لیا ہے۔
Malayalam	☐	ഈ അപേക്ഷ / നിബന്ധനകളും വ്യവസ്ഥകളും എന്നിവയിലെ ഉള്ളടക്കം എന്നിക്ക്/ഞങ്ങൾക്ക് വായിച്ചുതരികയും മലയാളത്തിൽ എന്നിക്ക്/ഞങ്ങൾക്ക് വിശദീകരിച്ചുതരികയും ചെയ്തതായി ഞാൻ / ഞങ്ങൾ സ്ഥിരീകരിക്കുന്നു. എന്നിക്ക്/ഞങ്ങൾക്ക് അവ മനസ്സിലായി എന്ന് ഞാൻ / ഞങ്ങൾ സ്ഥിരീകരിക്കുകയും ചെയ്യുന്നു.
Gujarati	☐	આથી હું/અમે એ વાતની પુષ્ટિ કરીએ છીએ કે, આ અરજી/નિયમો અને શરતોના લખાણને મારી/અમારી સમક્ષ ગુજરાતીમાં વાંચી સંભળાવવામાં આવ્યું હતું અને અમને સમજાવવામાં આવ્યું હતું અને મેં/અમે તેને સમજી લીધું હોવાની હું/અમે પુષ્ટિ કરું છું/કરીએ છીએ.
Telugu	☐	ఈ అప్లికేషన్/నియమ నిబంధనల్లోని విషయంనాకు/మాకు తెలుగులోచదివి వినిపించబడిందని మరియు వివరించబడిందని మరియు నేను/మేము దీనిని అర్థం చేసుకున్నామని నేను/మేము ధృవీకరిస్తున్నాం.
Oriya	☐	ମୁଁ/ଆମେ ସ୍ୱାକ୍ଷର କରୁଅଛୁ ଯେ ଏହି ବରଖାସ୍ତ/ନିୟମ ଓ ଶର୍ତ୍ତାବଳୀର ବିଷୟବସ୍ତୁ ଆମକୁ ଇଂରାଜୀରେ ପଢ଼ି ଶୁଣାଇ ଦିଆଯାଇଛି ଏବଂ ବୁଝାଯାଇଛି ଏବଂ ମୁଁ/ଆମେ ତାହାକୁ ବୁଝିପାରୁ ଏବଂ ଗ୍ରହଣ କରୁଛୁ ।
Kannada	☐	ಈ ಮೂಲಕ ನಾನು/ಪತ್ರದಲ್ಲಿರುವ ನಿಮಯ ಮತ್ತು ಷರತ್ತುಗಳನ್ನು ನಮಗೆ ಕನ್ನಡದಲ್ಲಿ ಓದಿ ಹೇಳಲಾಗಿದೆ ಮತ್ತು ನಾನು/ನಾವು ಅದನ್ನು ಅರ್ಥೈಸಿಕೊಂಡಿದ್ದೇವೆ.
Marathi	☐	मी/आम्ही यास पुष्टी देतो/देते की या अर्जातील/नियम व अटीमधील मजकूर मला/आम्हाला मराठीत वाचून दाखवण्यात आला आणि समजावून देण्यात आला आणि मला/आम्हाला तो समजला असल्याची मी/आम्ही पुष्टी देतो/देते.
Assamese	☐	মই/আমি নিশ্চিত কৰিছো যে এই আবেদন / নীতি আৰু চৰ্তাৱলীত থকা সবিশেষ তথ্য আমি ভালদৰে পঢ়িছো আৰু মোক / আমাক এই বিষয়ে সবিশেষ অসমীয়াত ব্যাখ্যা কৰি বুজোৱা হৈছে আৰু মই / আমি এই বিষয়ে সমগ্র কথা বুজি পাইছো বুলি নিশ্চিতি প্রদান কৰিলো।
Konkani	☐	ह्या अर्जाची/नेम आनी अटींची सामग्री कोंकणी भाशेंतल्यान वाचून दाखोवन, म्हाका/आमकां वर्णीत केल्या हाची हांव/आमी खात्री दितां/दितात आनी हांव/आमी ती समजलां/समजल्यात म्हूण खात्री दितां/दितात.
Meiteilon	☐	Ei/Ini adu nahakna cheksel amasung kayam gi matung inna maram pumbinaba adu English-da thamliba amasung khangliba hourak-i, eina/ini aduna adubu amasung adugi matungda khanghanbiba adugi yamna khangjari.
Nepali	☐	म/हामी पुष्टि गर्दछु/गछौं कि यो आवेदन/सुर्त र नियमहरूको सामग्री मलाई/हामीलाई अंग्रेजी भाषामा पढेर बताइएको र व्याख्या गरिएको थियो र म/हामीले त्यसलाई बुझेको पुष्टि गर्दछु/गछौं।
Khasi	☐	Nga/Ngi pynthikna ba ia ki jingdon jong kane ka Aplikeshon / Ki kyndon bad ki jingpynebeit la pule bad batai ia nga / ngi ha ka ktien phareng bad nga/Ngi pynthikna ba ngi la sngewthuh ia kajuh.
Kashmiri	☐	میں/ہم تصدیق کرتے ہیں کہ ہم نے سمجھاؤنا ميم ته پڑھ گڑھن ته انگلیش ژ پڑھ گڑھن ته سنجھ گڑھن۔ / شرائط و ضوابط ژ مضوونه مژھ / منز انگلیش ژ پڑھ گڑھن ته سنجھ گڑھن۔
Mizo	☐	Ka/Ka chungchang chuan he Hmanphung / Thiltih leh Hmanrua tan hi Englis a zungah ka/kan lo rawn hman, rawn hriat bawk a ni em em tiin ka/kan tih a ni a, chu chuan ka/kan hriat a ni tih ka/kan hmu a ni a.
Nagamese	☐	Moi/Amikhan confirm kore je ei Application / Terms and Conditions moi/amikhanke English te pori dikhai aru bujai dise, aru moi/amikhan confirm kore je amikhan etu bujhi loi ase.



Signature of First Authorised Signatory



Signature of Second Authorised Signatory



Signature of Third Authorised Signatory